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PATENT -- NO FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

MARK W. ORME ET AL.

Serial No.: 10/521,393

Filed: January 14, 2005

For: MODIFIED PICTET-SPENGLER REACTION AND PRODUCTS PREPARED THEREFROM

Attorney Docket No. 27866/35754B

Group Art Unit: Unassigned

Examiner: Unassigned

I hereby certify that this paper is being deposited with the United States
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MS Amendment
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Dated: August 2, 2004

, ____

James J. Napoli

Registration No. 32,361 Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents and publications that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO-1449 listing the possibly pertinent patent documents and publications and a copy of each non-U.S. patent and each publication.

This Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

We also enclose a copy of a PCT Search Report for the convenience of the examiner.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

Ву

James J. Napolł

(Registration No. 32,361) Attorneys for Applicants 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606 (312) 474-6300

Chicago, Illinois August 2, 2004

For	m 1449PTO			Complete if Known		
				Application Number	10/521,393	
11	NFORMATIO	N DIS	CLOSURE	Filing Date	January 14, 2005	
5	STATEMENT	BY AI	PPLICANT	First Named Inventor	Mark W. Orme	
				Group Art Unit	Unassigned	
	(use as many s	heets as ne	ecessary)	Examiner Name	Unassigned	
Sheet	1	of	2	Attorney Docket Number	29342/35754B	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY		
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY			

		OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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Examiner	Date
	1 2 1
Signature	I Considered I

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

For	n 1449PTO			Complete if Known			
				Application Number	10/521,393		
II.	IFORMATIC	ON DIS	CLOSURE	Filing Date	January 14, 2005		
S	TATEMENT	BY A	PPLICANT	First Named Inventor	Mark W. Orme		
				Group Art Unit	Unassigned		
(use as many sheets as necessary)			ecessary)	Examiner Name	Unassigned		
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OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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Examiner	Date	
Signature	Considered	
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